

CITY OF
WOLVERHAMPTON
C O U N C I L

Response to Request for Information

Reference FOI 000763
Date 6 January 2017

Proxy Voting Records

Request:

I would like to request some information under the Freedom of Information Act. Please may you provide me with the proxy voting records of the West Midlands ITA Pension Fund for the period of 1st May 2015 to 30th November 2016. For the avoidance of doubt, I am referring to the investments made by the Retirement System rather than any electoral voting.

Ideally, I would like to have the following information in electronic format:

- Name of Issuer
- Issuer Identifier e.g. Ticker or CUSIP
- Date of Shareholder Meeting
- Type of Shareholder Meeting (Annual, Special etc.)
- Proposal No.
- Proposal
- Proposer (Management / Shareholder)
- Vote cast by West Midlands ITA Pension Fund
- Entity responsible for vote decision (Internal/external asset manager (if external, please name) / proxy voting advisor (ISS, Glass Lewis, Marco Consulting, etc.))

In addition, please indicate whether the West Midlands ITA Pension Fund has its own proxy voting policy or whether you use that of an external provider (Please Name).

In respect of your above questions, it has been established after careful consideration that the Council does not hold the above information as the Fund does not control the voting activity for the Integrated Transport Authority (ITA) Fund as it is externally managed, therefore we cannot provide the data relating to the variables you have requested.

Details on the external manager who is responsible for proxy voting on behalf of the Integrated Transport Authority (ITA) Fund is not held by West Midlands Pension Fund. Proxy voting for the ITA Fund is done through Legal & General but may be internally or externally completed.

[NOT PROTECTIVELY MARKED]

Consequently, we are unable to provide any information relating to the above, and are informing you as required by Section 1(1) (a) of the Act, that states:

"Any person making a request for information to a public authority is entitled to be informed in writing by the public authority whether it holds information of the description specified in the request".