

CITY OF
WOLVERHAMPTON
COUNCIL

Response to Request for Information

Reference FOI 000753
Date 6 January 2017

Proxy Voting Records – West Midlands Pension Fund

Request:

I would like to request some information under the Freedom of Information Act. Please may you provide me with the proxy voting records of the West Midlands Pension Fund for the period of 1st January 2016 to 30th November 2016. For the avoidance of doubt, I am referring to the investments made by the Retirement System rather than any electoral voting.

Ideally, I would like to have the following information in electronic format:

- 1) Name of Issuer
- 2) Issuer Identifier e.g. Ticker or CUSIP
- 3) Date of Shareholder Meeting
- 4) Type of Shareholder Meeting (Annual, Special etc.)
- 5) Proposal No.
- 6) Proposal
- 7) Proposer (Management / Shareholder)
- 8) Vote cast by West Midlands Pension Fund
- 9) Entity responsible for vote decision (Internal/external asset manager (if external, please name) / proxy voting advisor (ISS, Glass Lewis, Marco Consulting, etc.)

In response to your questions 1, 3, 4, 5, 6, 8 and 9 above, I can confirm that City of Wolverhampton Council holds this information. The information you have requested is exempt under Section 21 of the Freedom of Information Act (FOIA), because the information is accessible to you, as it is already in the public domain. Please see link supplied below:

<http://www.wmpfonline.com/article/5693/Voting-Globally>

Please note that our current service provider for proxy voting is PIRC. For UK markets the Fund has its own bespoke voting policy. Elsewhere we use PIRC's recommended policies.

In response to your questions 2 and 7 it has been established after careful consideration that the Council does not hold the above information. Consequently, we are unable to provide any information relating to the above, and are informing you as required by Section 1(1) (a) of the Act, that states:

[NOT PROTECTIVELY MARKED]

"Any person making a request for information to a public authority is entitled to be informed in writing by the public authority whether it holds information of the description specified in the request".